

PATENT

Attorney Docket No.: 2155CIP2A(203-3398CIP2A)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wham et al.

Examiner: Not Assigned

Serial No.:

10/626,390

Group: Art Unit Not Assigned

Filed:

July 24, 2003

Dated: June 28, 2004

For:

VESSEL SEALING SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. It is respectfully submitted that copies of the references listed on pages 1-12 are not required since this application was filed after June 30, 2003 pursuant to 51 C.F.R. 1.98. Copies of foreign references and publications listed on pages 13-16 of form PTO-1449 are enclosed.

Applicants would like to bring parent U.S. Application Serial No. 10/073,761 filed on February 11, 2002 to the Examiner's attention. A copy of this application is enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450 on June 28, 2004.

Dated: June 28, 2004

Michael J. Porco

Applicant would also like to bring commonly-owned U.S. Application Serial No. 08/848,038 filed on April 28, 1997, International Application No. PCT/US03/14155 filed on May 6, 2003, U.S. Application Serial No. filed on 10/254,956 filed on September 25, 2002, International Application No. PCT/US03/28537 filed on December 29, 2003; U.S. Application Serial No. 10/761,524 filed on January 21, 2004; U.S. Application Serial No. 10/427,832 filed on May 1, 2003; U.S. Application Serial No. 10/728,212 filed on December 4, 2003; U.S. Application Serial No. 10/728,259 filed on December 4, 2003; U.S. Application Serial No. 10/835,657 filed on April 30, 2004; U.S. Application Serial No. 10/719,305 filed on November 21, 2003; International Application Serial No. PCT/US03/37310 filed on November 21, 2003; U.S. Application Serial No. 10/718,114 filed on November 20, 2003; International Application Serial No. PCT/US03/33711 filed on October 23, 2003 and International Application Serial No. PCT/US03/33832 filed on October 23, 2003 to the Examiner's attention. Copies of these applications are enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art.

The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- [] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- [] Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(ii).
 - [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
 - [] Please charge the \$130.00 petition fee to Deposit Account No. 21-0550.
- [] Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
- [] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 21-0550.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 21-0550. Also, in the event any

extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 21-0550 therefor.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

Michael J. Porco

Reg. No. 46,007

Attorney for Applicant(s)

Carter, DeLuca, Farrell & Schmidt, LLP 445 Broad Hollow Road Suite 225

Melville, New York 11747

Tel.: (631) 501-5700 Fax: (631) 501-3526

MJP/gm



(Use several sheets if necessary)

INFORMATION DISCLOSURE CITATION IN AN APPLICATION Wham et al. Docket Number (Optional) Application Number 2155CIPCON(203-3398CIPCON) 10/761,524 Applicant Wham et al.

Filing Date

January 21, 2004

THE DATES TO COMPENSE

Group Art Number 3739

U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
	RE 33,420	Nov. 6, 1990	Marvin L. Sussman				
	RE 36,871	Sept. 12, 2000	Paul Epstein	į			
	2001/0031962 A1	Oct. 18, 2001	Jeffery L. Eggleston				
	1,787,709	Jan. 6, 1931	F.C. Wappler				
	1,813,902	Jul. 14, 1931	W.T. Bovie				
	1,841,968	Jan. 19, 1932	N.H. Lowry				
	1,863,118	Jun. 14, 1932	J.G.H. Liebel				
	1,945,867	Feb. 6, 1934	P.C. Rawls				
	2,827,056	Mar. 18, 1958	J. Degelman				
	2,849,611	Aug. 26, 1958	R.P. Adams				
	2,982,881	May 2, 1961	R.W. Reich				
	3,058,470	Oct. 16, 1962	E. Seeliger et al.				
	3,089,496		J. Degelman				
	3,163,165	Dec. 29, 1964	Humio Islikawa				
	3,252,052	May. 17,1966	F.M. Nash				
	3,391,351	Jul. 2, 1968	D. W. Trent				
	3,402,326	Sept. 17, 1968	R.A. Guasco et al.				
	3,413,480	Nov. 26, 1968	J.R. Biard et al.				
	3,436,563	Apr. 1, 1969	W.M. Regitz				
	3,439,253	Apr. 15, 1969	M.J. Piteo				
	3,439,680	Apr. 22, 1969	E.R. Thomas Jr.				
	3,461,874	Aug. 19, 1969	M. Martinez				
	3,471,770	Oct. 7, 1969	K. E. Haire				
	3,478,744	Nov. 18, 1969	H. Leiter				
	3,486,115	Dec. 23, 1969	D. J. Anderson				
	3,495,584	Feb. 17, 1970	A. W. Schwalm				
	3,513,353	May. 19, 1970	J. L. Lansch				
	3,514,689	May 26, 1970	R. Giannamore				
	3,515,943	Jun. 2, 1970	Warrington				
	3,551,786	Dec. 29, 1970	J.L. Van Gulik				
Examiner				Date C	Considere	d	

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP \$609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449		Docket Number (Optional)		Application Number		
INFORMATION DISCL	.osur	E CITATION	2155CIP2A(203-3398CIP2A) 10		/626,390	
IN AN APPL			Applicant			
			Wham et al.			
(Use several sheets if necessary)		Filing Date		Group Art N	lumber	
			July 24, 2003			
		U.S. PATEN	T DOCUMENTS			
Examiner Document Initial Number		Date	Name	Class	Subclass	Filing Date if Appropriate
3,5	562,623	Feb. 9, 1971	Robert P. Farnsworth			
3,5	571,644	Mar. 23, 1971	Albert Jakoubovitch			
3,5	589,363	Jun. 29, 1971	Anton Banko			
3,5	595,221	Jul. 27, 1971	John Harold Blackett			
3,6	501,126	Aug. 24, 1971	Jerry R. Estes			
3,6	511,053	Oct. 5, 1971	William G. Rowell			
3,6	641,422	Feb. 18, 1972	Farnsworth et al.			
3,6	62,151	May. 9, 1972	Paul C. Haffey			
3,6	675,655	Jul. 11, 1972	Weldon Rex Sittner			
3,6	583,923	Aug. 15, 1972	Robert K. Anderson			
3,6	593,613	Sept. 26, 1972	Charles Kelman			
[· · · · · · · · · · · · · · · · · · ·	597,808		Joo Chan Lee			
3,6	599,967	Oct. 24, 1972	Robert K. Anderson			
3,7	720,896	Mar. 13, 1973	Reiner Bierlein			
3,7	743,918	Jul. 3, 1973	Guy Maitre			
3,7	766,434	Oct. 16, 1973	Stanley A. Sherman			
3,7	768,482	Oct. 30, 1973	Robert F. Shaw			
3,7	783,340	Jan. 1, 1974	Richard S. Becker			
3,7	784,842	Jan. 8, 1974	Frederic B. Kremer			
3,8	301,766	Apr. 2, 1974	Charles F. Morrison, Jr.			
3,8	301,800	Apr. 2, 1974	David W. Newton			
3,8	312,858	May. 28, 1974	Maurice Jules Oringer			
3,8	315,015	Jun. 4, 1974	Swin et al.			
3,8	326,263	Jul. 30, 1974	John M. Cage et al.			
3,8	328,768	Aug. 13, 1974	David W. Douglas			
3,8	348,600	Nov. 19, 1974	C. T. Patrick, Jr., et al.			
3,8	370,047	Mar. 11, 1975	Donald I. Gonser			
3,8	375,945	Apr. 8, 1975	Joshua Friedman			
3,8	385,569	May. 27, 1975	Donald W. Judson			
3,8	397,787	Aug. 5, 1975	Yuji Ikuno et al.			
Examiner				Date C	Considere	d
Examiner: Initial if citation conscitation if not in conformance an						_

Form PTO-1449		Docket Number (Optional)		Application Number			
INFORM	MATION DISCLOSUR	E CITATION	2155CIP2A(203-3398C	IP2A)	10/626,390		
IN AN APPLICATION		Applicant Wham et al.					
((Use several sheets if nece	essary)	Filing Date		Group Art N	lumber	
			July 24, 2003	<u></u>			
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
	3,897,788	Aug. 5, 1975	David W. Newton				
	3,901,216	Aug. 26, 1975	Milton R. Felger				
	3,905,373	Sept. 16, 1975	Donald I. Gonser				
	3,913,583	Oct. 21, 1975	William T. Bross				
	3,923,063	Dec. 2, 1975	Stephen Andrews et al.				
	3,933,157	Jan. 20, 1976	Per Henrik Bjurwill et al.				
	3,946,738	Mar. 30, 1976	David W. Newton et al.				
	3,952,748	Apr. 27, 1976	Kaliher et al.			·	
	3,963,030	Jun. 15, 1976	David W. Newton				
	3,964,487	Jun. 22, 1976	Donald W. Judson				
	3,971,365	Jul. 27, 1976	Leland B. Smith				
	3,980,085	Sept. 14, 1976	Yuji Ikuno				
	4,005,714	Feb. 1, 1977	Siegfried Hilebrandt				
	4,024,467	May 17, 1977	Andrews et al.				
	4,041,952	Aug. 16, 1977	C. Morrison, Jr. et al.				
	4,051,855	Oct. 4, 1977	Max Schneiderman				
	4,063,557	Dec. 20, 1977	David G. Wuchinich et al.				
	4,074,719	Feb. 21, 1978	Kurt Semm				
	4,094,320	Jun. 13, 1978	David W. Newton et al.				
	4,102,341	Jul. 25, 1978	Yuzi Ikuno et al.				
	4,114,623		Hans Meinke et al.				
	4,121,590	Oct. 24, 1978	Donald I. Gonser				
	4,123,673	Oct. 31, 1978	Donald I. Gonser				
	4,126,137	Nov. 21, 1978	G. Kent Archibald			<u> </u>	
	4,145,636	Mar. 20, 1979	S.Doi				
	4,188,927	Feb. 19, 1980	Frank W. Harris				
	4,196,734	Apr. 8, 1980	Frank W. Harris				
	4,200,104	Apr. 29, 1980	Frank W. Harris				
	4,200,105	Apr. 29, 1980	Donald I. Gosner				
xaminer				Date C	Considered	d	
	nitial if citation considered, w t in conformance and not cons			•		_	

II	Document Number 4,209,018 4,231,372 4,232,676	ossary)	2155CIP2A(203-3398C Applicant Wham et al. Filling Date July 24, 2003 T DOCUMENTS Name	CIP2A)	10	/626,390 lumber
(Use	Document Number 4,209,018 4,231,372	u.S. PATEN	Applicant Wham et al. Filing Date July 24, 2003 T DOCUMENTS			
Examiner	Document Number 4,209,018 4,231,372	U.S. PATEN	Filing Date July 24, 2003 T DOCUMENTS		Group Art N	umber
Examiner	Document Number 4,209,018 4,231,372	U.S. PATEN	July 24, 2003 T DOCUMENTS		Group Art N	lumber
	Number 4,209,018 4,231,372	Date				
	Number 4,209,018 4,231,372		Name			
	4,231,372	Jun. 24, 1980	TVEITIC	Class	Subclass	Filing Date if Appropriate
		J = = 1, 1000	Hans Meinke et al.			
	A 222 676	Nov. 4, 1980	David W. Newton			
	4,232,070	Nov. 11, 1980	Andrew Herczog			
	4,237,887	Dec. 9, 1980	Donald I. Gosner			-
	4,237,891	Dec. 9, 1980	Ronald A. DuBose et al.			
	4,281,373	Jul. 28, 1981	Pierre Mabille			
	4,287,557	Sep. 1, 1981	Robert F. Brehse			
	4,303,073	Dec. 1, 1981	G. Kent Archibald			
	4,311,154	Jan. 19, 1982	Sterzer et al.			
	4,314,559	Feb. 9, 1982	Richard E. Allen			
	4,321,926	Mar. 30, 1982	Ralph R. Roge			
	4,334,539	Jun. 15, 1982	Warren L. Childs et al.			
	4,343,308	Aug. 10, 1982	Robert D. Gross			
	4,376,263	Mar. 8, 1983	Pittroff et al.			
	4,384,582	May. 24, 1983	John H. Watt			
	4,397,314	Aug. 9, 1983	Vaguine			
	4,407,272	Oct. 4, 1983	Tatuya Yamaguchi			
	4,411,266	Oct. 25, 1983	Eric R. Cosman	•		
	4,416,277	Nov. 22, 1983	David W. Newton et al.			
	4,437,464	Mar. 20, 1984	James J. Crow			
	4,452,546	Jun. 5, 1984	S. Hiltebrandt et al.			
	4,463,759	Aug. 7, 1984	Jon C. Garito et al.			
	4,470,414	Sept. 11, 1984	Kyoshiro Imagawa et al.			
	4,472,661	Sep. 18, 1984	Clifford T. Culver			
	4,474,179	Oct. 2, 1984	Rainer Koch			
	4,492,231	Jan. 8, 1985	David C. Auth			
	4,492,832	Jan. 8 <u>,</u> 1985	Glenn N. Taylor			
Examiner				Date C	onsidere	d
vaminar Inid-1	if significant and designificant and and	hathar an!!	on is in conformance with MP	ED foo-	D "	d. t

Form PTO-1449		Docket Number (Optional)		Application Number		
INFOR	MATION DISCLOSUR	F CITATION	2155CIP2A(203-3398C	ו מפסור	10	/626,390
IIII	IN AN APPLICATION		Applicant	IFER		020,000
	***************************************	,,,	Wham et al.	_		
	(Use several sheets if nece	essary)	Filing Date July 24, 2003		Group Art N	lumber
		U.S. PATEN	T DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	4,494,541	Jan. 22, 1985	G. Kent Archibald			
	4,514,619	Apr. 30, 1985	Michael M. Kugelman			
	4,520,818	Jun. 4, 1985	Stanley Mickiewicz			
	4,559,943	Dec. 24, 1985	William J. Bowers			
	4,565,200	Jan. 21, 1986	Eric R. Cosman			
	4,566,454	Jan. 28, 1986	Thomas L. Mehl et al.			
	4,569,345	Feb. 11, 1986	Michael R. Manes			
	4,576,177	Mar. 18, 1986	Webster Jr.			
	4,582,057	Apr. 15, 1986	Dacid C. Auth et al.			
	4,590,934	May. 27, 1986	Jerry L. Malis et al.			
	4,608,977	Sep. 2, 1986	Brown			
	4,630,218	Dec. 16, 1986	James R. Hurley			
	4,632,109	Dec. 30, 1986	William G. Patterson			
	4,644,955	Feb. 24, 1987	P. Mioduski			
	4,651,264	Mar. 17, 1987	Shiao-Chung Hu	 		
	4,657,015	Apr. 14, 1987	Warner Irnich	 		
	4,658,815		Gunter Farin et al.			
	4,658,820	Apr. 21, 1987	Michael S. Klicek			
	4,662,383	May 5, 1987	Sogawa et al.			
	4,712,559	Dec. 15, 1987	Paul F.Turner			
	4,735,204	Apr. 5, 1988	Marvin L. Sussman et al.			
	4,739,759	Apr. 26, 1988	F. W. Rexroth et al.			
	4,741,334	May. 3, 1988	Werner Irnich	 		
	4,754,757	Jul. 5, 1988	Peter Feucht			
	4,805,621	Feb. 21, 1989	Heinze et al.	 		
	4,818,954	Apr. 4, 1989	Flachenecker et al.			
Examiner					Considere	
Examiner: Ir	nitial if citation considered, wh	nether or not citatio	on is in conformance with MP	EP §609;	Draw line t	hrough

citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449		Docket Number (Optional)		Application Number		
INFORM	MATION DISCLOSUR	E CITATION	2155CIP2A(203-3398C	IP2A)	10/626,390	
	IN AN APPLICATION		Applicant Wham et al.			
(Use several sheets if nece	essary)	Filing Date July 24, 2003		Group Art Number	
		U.S. PATEN	T DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	4,827,911	May. 9, 1989	Alan Broadwin et al.			
	4,827,927	May. 9, 1989	David W.Newton			
	4,832,024	May 23, 1989	Boussignac et al.			
	4,848,355	Jul. 18, 1989	Yasuhiro Nakamura et al.			
	4,860,745	Aug. 29, 1989	Gunter Farin et al.			
	4,862,889	Sept. 5, 1989	Peter Feucht			
	4,880,719	Nov. 14, 1989	Murofushi et al.			
	4,890,610	Jan. 2, 1990	L. T. Kirwan et al.			
	4,903,696	Feb. 27, 1990	Peter Stasz et al.			
	4,907,589	Mar. 13, 1990	Eric R. Cosman			
	4,922,210	May. 1, 1990	G. Flachenecker et al.			
	4,931,047	Jun. 5, 1990	Alan Broadwin et al.			
	4,938,761	Jul. 3, 1990	Frieder H. Ensslin			
	4,942,313	Jul. 17, 1990	Helmut Kinzel			
	4,961,047	Oct. 2, 1990	Timothy J. Carder			
	4,961,435	Oct 9, 1990	Kitagawa et al.			
	4,966,597	Oct. 30, 1990	Cosman			
	4,993,430	Feb. 19, 1991	Shimoyama et al.			
	4,995,877	Feb. 26, 1991	Felix Ams et al.			
	5,015,227	May. 14,1991	Alan Broadwin et al.			
	5,029,588	Jul. 9, 1991	Yock et al.			
	5,103,804	Apr. 14, 1992	Abele et al.		•	
	5,108,389	Apr. 28, 1992	Ioan Cosmescu			
	5,122,137	Jun. 16, 1992	Charles D. Lennox			
	5,133,711	Jul. 28, 1992	Alfred Hagen			
	5,151,102	Sept. 29, 1992	H. Kamiyama et al.			
	5,152,762	Oct. 6, 1992	Jay J. McElhenney			
	5,157,603	Oct. 20, 1992	Gregg D. Scheller et al.			
Examiner				Date C	onsidere	d
			on is in conformance with MP			_

Form PTO-1449		Docket Number (Optional)		Application Number		
INFORI	MATION DISCLOSUR	E CITATION	2155CIP2A(203-3398C	CIP2A)	10/	/626,390
	IN AN APPLICATION		Applicant Wham et al.			
((Use several sheets if nece	essary)	Filing Date July 24, 2003		Group Art N	lumber
		UC DATEN				
Examiner	Document	U.S. PAIEN	T DOCUMENTS		г т	Filing Date
Initial	Number	Date	Name	Class	Subclass	if Appropriate
	5,160,334	Nov. 3, 1992	R. Gail Billings et al.			
	5,190,517	Mar. 2, 1993	David A. Zieve et al.			
	5,196,009	Mar. 23, 1993	Lawerence T. Kirwan Jr.			
	5,201,900	Apr. 13, 1993	Paul C. Nardella			
	5,207,691	May. 4, 1993	Paul C. Nardella			
	5,230,623	Jul. 27, 1993	Guthrie et al.			
	5,233,515	Aug. 3, 1993	Eric R. Cosman			
	5,267,994	Dec. 7, 1993	Gentelia et al.			
	5,281,213	Jan. 25, 1994	Milder et al.			
	5,318,563	Jun. 7, 1994	Jerry L. Malis et al.			
	5,323,778	Jun. 28, 1994	Kandarpa et al.			
	5,324,283	Jun. 28, 1994	Helmut Heckele			
	5,330,518	Jul. 19, 1994	Neilson et al.			
	5,334,193		Nardella			
	5,341,807	Aug. 30, 1994	Paul C. Nardella			
	5,342,356	Aug. 30, 1994	Alan G. Ellman			
	5,342,357	Aug. 30, 1994	Nardella			
	5,342,409		Keith R. Mullett			
	5,348,554	Sep. 20, 1994	lmran et al.			
	5,370,645	Dec. 6, 1994	Michael S. Klicek et al.			
	5,370,672	Dec. 6, 1994	Kim R. Fowler et al.			
	5,370,675	Dec. 6, 1994	Edwards et al.			
	5,372,596	Dec. 13, 1994	Michael S. Klicek et al.			
	5,383,876	Jan. 24, 1995	Nardella			
	5,383,917	Jan. 24, 1995	Desai et al.			
	5,385,148	Jan. 31, 1995	Lesh et al.			
	5,396,062	Mar. 7, 1995	David K. Eisentraut et al.			
	5,400,267	Mar. 21, 1995	Dennis J. Denen et al.			
Examiner	,			Date C	onsidered	d
	nitial if citation considered, when the conformance and not cons		on is in conformance with MP py of this form with next com	•		ŭ

Form PTO-1449		Docket Number (Optional)		Application Number		
INFORI	MATION DISCLOSUR	E CITATION	2155CIP2A(203-3398C	CIP2A)	10	/626,390
	IN AN APPLICATION	ON	Applicant Wham et al.		ā. <u></u>	
	(Use several sheets if nece	essary)	Filing Date July 24, 2003		Group Art N	lumber
	·· ·	U.S. PATEN	T DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5,403,311	Apr. 4, 1995	Abele et al.			
	5,403,312	Apr. 4, 1995	David C. Yates et al.			
	5,409,000	Apr. 25, 1995	Imran			
	5,409,006	Apr. 25, 1995	Buchholtz et al.			
	5,413,573	May. 9, 1995	John Koivukangas			
	5,417,719	May. 23, 1995	Vincent W. Hull et al.			
	5,422,567	Jun. 6, 1995	Derek Matsunaga			
	5,423,808	Jun. 13, 1995	Stuart D. Edwards et al.			
	5,423,809	Jun. 13, 1995	Michael S. Klicek			
	5,430,434	Jul. 4, 1995	Gabor Lederer et al.			
	5,433,739	Jul. 18, 1995	Menno E. Sluijter et al.			
	5,434,398	Jul. 18, 1995	Samuel Goldberg			
	5,443,463	Aug. 22, 1995	Roger A. Stern et al.			
	5,458,597	Oct. 17, 1995	Edwards et al.			
	5,462,521	Oct. 31, 1995	Brucker et al.			
	5,472,441	Dec. 5, 1995	Edwards et al.			
	5,478,303	Dec. 26, 1995	D. Folry-Nolan et al.			
	5,480,399	Jan. 2, 1996	Kevin A. Hebborn			
	5,483,952	Jan. 16, 1996	Ernie Aranyi			
	5,490,850	Feb. 13, 1996	Ellman et al.			
	5,500,012	Mar. 19, 1996	Brucker et al.			
	5,514,129	May. 7, 1996	Gregory C. Smith			
	5,520,684	May 28, 1996	Imran			
Examiner	•			Date (Considere	d
	nitial if citation considered, w					

Form PTO-1449		Docket Number (Optional)		Application Number			
INFOR	MATION DISCLOSUR	E CITATION	2155CIP2A(203-3398C	CIP2A)	10/	/626,390	
	IN AN APPLICATIO		Applicant Wham et al.				
	(Use several sheets if nece	essary)	Filing Date July 24, 2003		Group Art N	umber	
		U.S. PATEN	T DOCUMENTS				
Examiner	Document		<u>.</u>	T		Filing Date	
Initial	Number	Date	Name	Class	Subclass	if Appropriate	
	5,531,774	Jul. 2, 1996	J. H. Schulman et al.				
	5,534,018		J. D. Wahlstrand et al.				
	5,536,267	Jul. 16, 1996	Edwards et al.				
	5,540,681	Jul. 30,1996	Bruno Strul et al.		-		
	5,540,724	Jul. 30, 1996	Timothy J. Cox				
	5,556,396	Sept. 17, 1996	Donald Cohen et al.				
	5,569,242	Oct. 29, 1996	Ronald G. Lax et al.				
	5,571,147	Nov. 5, 1996	Menno E. Sluijter et al.				
	5,588,432	Dec. 31, 1996	Crowley				
	5,599,344	Feb. 4, 1997	William G. Paterson				
	5,599,345	Feb. 4, 1997	Edwards et al.				
	5,605,150	Feb. 25, 1997	S. W. Radons et al.				
	5,613,966		Joshua Makower et al.				
	5,613,996	Mar. 25, 1997	Richard D. Lindsay				
	5,625,370		Leok J. D'Hont				
	5,626,575	May 6, 1997	James C. Crenner				
	5,628,745	May. 13, 1997	Robin B. Bek				
	5,643,330	Jul. 1, 1997	Jan Holsheimer et al.				
	5,647,871	Jul. 15, 1997	Levine et al.				
	5,651,780	Jul. 29, 1997	Jerome Jackson et al.				
	5,658,322	Aug. 19, 1997	Janet E. Fleming				
	5,660,567	Aug. 26, 1997	Steve L Nierlich et al.				
	5,688,267	Nov. 18, 1997	Panescu et al.				
	5,690,692	Nov. 25, 1997	Janet E. Fleming				
	5,693,042	Dec. 2, 1997	A. A. Bioarski et al.			· · · · · · · · · · · · · · · · · · ·	
	5,695,494	Dec. 9, 1997	Daniel J. Becker				
Examiner			22	Date C	onsidere	d	
i							
Examiner: In	nitial if citation considered, wl	hether or not citatic	on is in conformance with MP	EP \$609;	Draw line t	hrough	
			ov of this form with next com			Ü	

Form PTO-1449		Docket Number (Optional)		Application Number			
INFOR	MATION DISCLOSUR	E CITATION	2155CIP2A(203-3398C	IP2A)	10/	/626,390	
	IN AN APPLICATION		Applicant				
			Wham et al.			g.	
ı	(Use several sheets if nece	essary)	Filing Date		Group Art N	Number	
	<u> </u>	• •	July 24, 2003				
		U.S. PATEN	T DOCUMENTS				
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
	5,702,386		Roger A. Stern et al.			,, , , , , , , , , , , , , , , , , , ,	
	5,702,429		Garry William King				
	5,707,369		Vaitekunas et al.				
	5,720,744	Feb. 24, 1988	J. L. Eggleston et al.				
	5,733,281	Mar. 31, 1998	Paul C. Nardella				
	5,749,871	May. 12, 1998	Larry L. Hood et al.				
	5,766,165	Jun. 16, 1998	John S. Gentelia et al.				
	5,772,659	Jun. 30, 1998	Daniel J. Becker et al.				
	5,797,902	Aug. 25, 1998	Samuel G. Netherly				
	5,814,092	Sept. 29, 1998	Gary William King				
	5,817,093	Oct. 6, 1998	Williamson, IV et al.				
	5,820,568	Oct. 13, 1998	N. P. Willis				
	5,836,943	Nov. 17, 1998	Scott A. Miller, III				
	5,846,236	Dec. 8, 1998	Heinz Lindenmeier et al.				
	5,868,737	Feb. 9, 1999	Taylor et al.				
	5,868,739	Feb. 9, 1999	Heinz Lindenmeier et al.				
	5,868,740	Feb. 9, 1999	LeVeen et al.				
	5,897,552	Apr. 27, 1999	Stuart D. Edwards et al.				
	5,908,444	Jun. 1, 1999	Larry Azure				
	5,913,882	Jun. 22, 1999	Gary William King				
	5,921,982	Jul. 13, 1999	Lesh et al.				
	5,925,070	Jul. 20, 1999	Gary W. King et al.				
	5,938,690	Aug. 17, 1999	Jay Law et al.				
Examiner	•			Date C	Considere	d	
	nitial if citation considered, w			•			

		Without reference	,63			
Form PTO-14	149		Docket Number (Optional)		Application Number	
INFOR	MATION DISCLOSUR	E CITATION	2155CIP2A(203-33980	CIP2A)	10	/626,390
	IN AN APPLICATION	NC	Applicant		-	<u>.</u>
			Wham et al.			
	(Use several sheets if necessary)		Filing Date July 24, 2003	*	Group Art N	lumber
			July 24, 2003		I	
		U.S. PATEN	T DOCUMENTS	,		
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5,948,007	Sept. 7, 1999	W. Starkenbaum et al.			
	5,951,546	Sept. 14, 1999	Torben Lorentzen			
	5,954,686	Sep. 21, 1999	Garito et al.			
	5,954,717	Sept. 21, 1999	Robert S. Behl et al.			
	5,971,980	Oct. 26, 1999	Marshall L. Sherman			
	5,976,128	Nov. 2, 1999	Bertram Schilling et al.			
	5,983,141	Nov. 9, 1999	Menno E. Sluijter et al.			
	6,014,581	Jan. 11, 2000	Whayne et al.			
	6,033,399	Mar. 7, 2000	David Lee Gines			
	6,044,283	Mar. 28, 2000	Michael E. Fein et al.			
	6,053,910	Apr. 25, 2000	Richard P. Fleenor			
	6,053,912	Apr. 25, 2000	Panescu et al.			
	6,056,745	May 2, 2000	Panescu et al.			
	6,056,746	May 2, 2000	Goble et al.			
	6,063,078	May. 16, 2000	Wittkampf			
	6,068,627	May. 30, 2000	J. H. Orszulak et al.			
	6,113,591	Sept. 5, 2000	James G. Whayne et al.			
	6,123,702	Sept. 26, 2000	Swanson et al.			
	6,132,429	Oct. 17, 2000	James A. Baker			
	6,142,992	Nov. 7, 2000	Cheng et al.			
	6,210,403	Apr. 3, 2001	Michael S. Klicek			
	6,228,080	May. 8, 2001	David Lee Gines			
	6,235,020	May. 22, 2001	Cheng et al.			
	6,238,387	May. 29, 2001	Scott A. Miller, III			
	6,241,725	Jun. 5, 2001	Cosman			
	6,246,912	Jun. 12, 2001	Menno E. Sluijter et al.			
	6,251,106	Jun. 26, 2001	Daniel J. Becker et al.			
Examiner	•			Date C	Considere	d
		•				
Examiner: In	nitial if citation considered, w	hether or not citatio	on is in conformance with MP	EP §609;	Draw line	through
citation if no	t in conformance and not cons	sidered. Include cop	y of this form with next com	municatio	on to the app	olicant.

Form PTO-1449		Docket Number (Optional)		Application Number		
INFOR	MATION DISCLOSUR	E CITATION	2155CIP2A(203-33980	^ID2Δ)	10.	/626,390
	IN AN APPLICATION		Applicant	JIFZAJ	10,	020,330
	, , , , , , , , , , , , , , , , , , , ,		Wham et al.			
(Use several sheets if necessary)		essary)	Filing Date		Group Art N	lumber
			July 24, 2003		<u> </u>	
		U.S. PATEN	T DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	6,258,085	Jul. 10, 2001	Jeffery L. Eggleston			
	6,306,131	Oct. 23, 2001	Hareyama et al.			
	6,306,134		Goble et al.			
	6,337,998		Behl et al.			
	6,383,183	May 7, 2002	Sekino et al.			
	6,398,781	Jun. 4, 2002	Goble et al.			
	6,402,741	Jun. 11, 2002	David Keppel et al.			
	6,402,743	Jun. 11, 2002	J. H. Orszulak et al.			
	6,451,015	Sep. 17, 2002	Rittman, III et al.			
	6,506,189	Jan. 14, 2003	Rittman, III et al.	Ī		
	6,565,559	May. 20, 2003	Jeffery L. Eggleston			
	6,573,248		Ramasamy et al.			
	6,575,969		Rittman, III et al.			
	6,620,157	Sep. 16, 2003	Dabney et al.			
	6,663,623	Dec. 16, 2003	Oyama et al.			
	6,682,527	Jan. 27, 2004	Strul			
		-				
				 		
				 	<u> </u>	
					 	
Examiner	r			Date C	Considere	d
						_
	initial if citation considered, w			_		_

Form PTO-1449			Docket Number (Optional) Application Number		Number		
			2155CIP2A(203-3398CIP2A) 10/626,390				
			Applicant Wham et al.				
	(Use several sheets if ne	cessary)	Filing Date July 24, 2003	Group Art Number			
		FOREIGN PATE	NT DOCUMENTS				
	Document					Translat	
	Number	Date	Country	Class	Subclass	Yes	No
	179,607	Mar. 1905	DE				-
	390,937	Apr.,1989	DE				ļ
	1,099,658	Feb., 1961	DE				
	1,139,927	Nov.,1962	DE				
	1,149,832	Jun.,1963	DE				
	1,439,302	Jan. 1969	DE				
	2,407,559	Aug., 1975	DE				
	2,439,587	Feb., 1975	DE				
	2,455,174	May.,1975	DE				
	2,504,280	Aug.,1976	DE				
	2,540,968	Mar.,1977	DE				
	2,602,517	Jul., 1976	DE				
	2,803,275	Aug.,1979	DE				
	2,820,908	Nov.,1978	DE				
	2,823,291	Nov.,1979	DE				
	2,946,728	May.,1981	DE				
	3,045,996	Jul., 1982	DE				
	3,120,102	Dec.,1982	DE				
	3,143,421	May.,1982	DE				
	3,510,586	Oct.,1986	DE				
	3,604,823	Aug.,1987	DE				
	3,904,558	Aug.,1990	DE				
	3,942,998	Jul.,1991	DE				
Examiner	•			Date (Considered	d	
	nitial if citation considered, w			•		•	

Form PTO-1449			Docket Number (Optional) Applicat		Application I	ation Number	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			2155CIP2A(203-3398CIP2A) 10/626,390				
			Applicant				
			Wham et al.				
	(Use several sheets if ne	cessary)	Filing Date		Group Art No	umber	
			July 24, 2003				
		FOREIGN PATE	ENT DOCUMENTS				
	Document	5	0	01	Cultural	Translat	
- 	Number	Date	Country	Class	Subclass	Yes	No
×	246,350	Nov.,1987	EP		+ +		\vdash
	310,431	Apr.,1989	EP	 	1		
-	325,456	Jul., 1989	EP				
	336,742	Oct.,1989	EP		+		
	390,937	Oct., 1990	EP		++		-
	556,705	Aug., 1993	EP EP		 		┼
	608,609	Aug.,1994	EP	-			
	1,275,415	Oct.,1961	FR FR				 - -
	1,347,865	Nov.,1963	FR		-		1-
	2,313,708	Dec., 1976	FR	 	 		1
	2,502,935	Oct.,1982	FR	-			-
	2,517,953	Jun.,1983	FR				-
	2,573,301	May, <u>1986</u>	FR	 			<u> </u>
	855,459	Nov., 1960	GB	ļ	 		
	2,164,473	Mar., 1986	GB	ļ			ļ
	2,214,430	Sep. 6, 1989	GB	<u> </u>			_
				<u> </u>			_
					-		_
					1		-
				<u> </u>	1		1
				<u> </u>	<u> </u>		
Examine	r			Date (Considere	d.	
Examiner: 1	nitial if citation considered, w	hether or nor citation i	s in conformance with MPEP	§609; Dr	aw line throu	gh	
citation if n	ot in conformance and not con	sidered. Include copy o	of this form with next commun	nication to	the applicar	1t.	

Form PTO-1449			Docket Number (Optional)		Application Number			
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			2155CIP2A(203-3398CIP2A) 10/626,390					
			Applicant Wham et al.					
_	(Use several sheets if nec	Filing Date July 24, 2003	3	Group Art No	umber	_		
<u> </u>]	FOREIGN PATE	ENT DOCUMENTS	DOCUMENTS				
	Document Number	Date	Country	Class	Subclass	Translat Yes	tion No	
	WO92/06642	Apr.,1992	РСТ					
	WO93/24066	Dec.,1993	PCT				\perp	
	WO94/24949	Nov.,1994	PCT				\perp	
	WO94/28809	Dec.,1994	PCT				\perp	
	WO95/09577	Apr.,1995	PCT					
	WO95/19148	Jul.,1995	РСТ				\perp	
	WO96/02180	Feb., 1996	PCT				1	
	WO96/04860	Feb.,1996	РСТ				<u></u>	
	WO96/08794	Mar.,1996	РСТ				\perp	
	WO96/18349	Jun.,1996	РСТ				$\perp^{}$	
	WO96/29946	Oct.,1996	РСТ				\perp	
	WO96/39914	Dec.,1996	РСТ				\perp	
	WO97/06739	Feb.,1997	РСТ				<u></u>	
	WO97/06740	Feb.,1997	PCT				<u></u>	
	WO97/06855	Feb.,1997	РСТ				<u></u>	
	WO97/17029	May.,1997	PCT					
	WO02/47565	June, 2002	РСТ				<u></u>	
	166,452	Jan., 1965	SU				<u></u>	
	727,201	Apr.,1980	SU				<u></u>	
							<u></u>	
							<u></u>	
							<u> </u>	
Examiner	,		Date (Considered	d			
	nitial if citation considered, what in conformance and not cons			-				
itation if not in conformance and not considered. Include copy of this form with next communication to the applicant.								

Form PTO-1449	Docket Number (Optional)	Application Number						
INFORMATION DISCLOSURE CITATION	2155CIP2A(203-3398CIP2A)	10/626,390						
IN AN APPLICATION	Applicant							
	Wham et al.							
(Use several sheets if necessary)	Filing Date July 24, 2003	Group Art Number						
OTHER	DOCUMENTS							
	Alexander et al., "Magnetic Resonance Image-Directed Stereotactic Neurosurgery: Use of Image Fusion w Computerized Tomography to Enhance Spatial Accuracy"							
Journal Neurosurgery, 83; (1995) pp. 271-								
Anderson et al., "A Numerical Study of Ra	pid Heating for High Temperature Radio F	requency Hyperthermia"						
International Journal of Bio-Medical Comp	uting, 35 (1994) pp. 297-307.							
Astrahan, " A Localized Current Field Hype	erthermia System for Use with 192-Iridium	Interstitial Implants"						
Medical Physics, 9 (3), May/Jun. 1982								
Cosman et al., "Methods of Making Nervou	us System Lesions"							
In William RH, Rengachary SS (eds): Neur	rosurgery, New York: McGraw-Hill, vol. 11	1, (1984), pp. 2490-2499.						
Cosman et al., "Radiofrequency Lesion Generation and Its Effect on Tissue Impedance"								
Applied Neurophysiology 51: (1988) pp. 230-242 Cosman et al., "Theoretical Aspects of Radiofrequency Lesions in the Dorsal Root Entry Zone" Neurosurgery 15:(1984) pp. 945-950 Geddes et al., "The Measurement of Physiologic Events by Electrical Impedence"								
					Am. J. MI, Jan. Mar. 1964, pp. 16-27			
					Goldberg et al., "Tissue Ablation with Radi Temperature on Lesion Volume"	ofrequency: Effect of Probe Size, Gauge,	Duration, and	
					Acad Radio (1995) Vol. 2, No. 5, pp. 399-4	04		
Medtrex Brochure "The O.R. Pro 300"								
1 p.								
Sugita et al., "Bipolar Coagulator with Auto	matic Thermocontrol"							
J. Neurosurg., vol. 41, Dec. 1944, pp. 777-	J. Neurosurg., vol. 41, Dec. 1944, pp. 777-779							
Valleylab Brochure "Valleylab Electroshield	d Monitoring System"							
2 pp.								
Wald et al., "Accidental Burns",								
JAMA, Aug. 16, 1971, Vol. 217, No. 7, pp.	916-921							
Richard Wolf Medical Instruments Corp. Br	rochure, "Kleppinger Bipolar Forceps & Bi	polar Generator"						
3 рр.								
Examiner	Date Consider	ered						
Examiner: Initial if citation considered, whether or nor citation is citation if not in conformance and not considered. Include copy of								



Mailing Label
Label 11-F June 2002



			UNITED STATES POS	TAL SERVICE •	Post Office to Addresse	
ORIGIN (POSTAL US PO ZIP Code Date in Mo. Day Year Time in AM PM Weight Ibs. Oza	Next Becond 12 Noon 3 PM Military 2nd Day 3rd De Int'l Alpha Country Code	Total Postage & Fees	DELIVERY (POST Delivery Attempt Mo. Day Delivery Attempt Mo. Day Delivery Date	AL USE ONLY) Time AM PM Time Time AM PM Time	Employee Signature	
Weekend Holdd CUSTOMERUSEONLY METHOD OF PAYMENT: Express Mad Corporate Acrt. No FROM: prease Print	DELUCA, FA	RRELL & STE 225 NY 11747-36	Federal Agency Acct. No. Posted Service Acct. No. TO: (please print) MAIL COMMI PO BO ALE XA	TOP PAK SSIGNER X 1450	HONE L. J. AT APPLICATION FOR PATENTS VA 22313-14	-50
PRESS HARD. You are making 3 o	-on DICKII	98CIP2B P OR TRACKING CAL	L 1-800-222-1811	www.usps	.com 气星相多	

BEST AVAILABLE COPY

EV 347961874 US	Customer Copy Label 11-F June 200 EXPRESS MAIL UNITED STATES POSTAL SERVICE® Post Office To Addresses
Date In	DELIVERY (POSTAL USE ONLY) Delivery Attempt Mo. Day
FROM: PLEASE PRINT) CARTER, DELUCA, FARRELL 6 SCHMIDT, LLP 445 BRUAUHULLUW RD STE 225 MELVILLE NY 11747-3615 PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-80	TO: PLEASE PRINT) PHONE MAIL STOP PARTT APPLICATION COMMISSIONER FOR PATENTS PU BOX 1450 ALEXANDRIA VA 22313-1450

BEST AVAILABLE COPY